

FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 34284
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/030848
INTERNATIONAL APPLICATION NO. PCT/FR00/02175	INTERNATIONAL FILING DATE 28 July 2000	PRIORITY DATE CLAIMED 30 July 1999	
TITLE OF INVENTION INK COMPOSITION FOR INK JET PRINTING			
APPLICANT(S) FOR DO/EO/US Vincent Millot; Pierre De Saint Romain			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: --Demand for Chapter II; --Prel. Exam. Report; --English translation of amended sheets of IPER;</p>			

page 1 of 2

--PCT/1B/304 & 308; WO 01/09255

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, and is addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on the date indicated below.

Debra A. Peterlin

Name of Person Mailing Correspondence

1/11/2002

Date

Signature of Person

Mailing Correspondence

U.S. APPLICATION NO 10/030848 INTERNATIONAL APPLICATION NO PCT/FR00/02175				ATTORNEY'S DOCKET NUMBER 34284	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY <div style="border: 1px solid black; padding: 5px; margin: 5px auto; width: 80%;">890.00</div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$18.00	\$	
Independent claims	- 3 =		x \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 890.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 40.00	
TOTAL FEES ENCLOSED =				\$ 930.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>930.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-0820</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Joseph J. Corso Pearne & Gordon LLP 526 Superior Avenue East Suite 1200 Cleveland, Ohio 44114-1484					
				<div style="text-align: center;"> </div> <div style="text-align: center;"> SIGNATURE Joseph J. Corso NAME 25845 REGISTRATION NUMBER </div>	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Vincent Millot et al.
Title: INK COMPOSITION FOR INK JET PRINTING
Docket No.: 34284

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the examination of the above-identified patent application, it is requested that the following amendments be made.

IN THE CLAIMS:

Please amend claims 3, 4, 8, 11, 12 and 15 to read as follows.

1 3. (amended) Ink composition according to claim 1,
2 comprising from 5 to 50 % by weight of the said other
3 organic compounds(s).

1 4. (amended) Ink composition according to claim 1,
2 in which the said other organic compound(s) are chosen
3 from alcohols, ketones, glycol alkylene ethers and
4 esters, dimethyl formamide, N-pyrrolidone and any other
5 compounds known for their ability to dissociate ionisable
6 species and, if appropriate, their properties of

7 dissolving the other ingredients in the ink composition
8 and/or to slow down the evaporation of the ink; and their
9 mixtures.

1 8. (amended) Ink composition according to claim 1,
2 comprising 0.1 to 30 % by weight of binder.

1 11. (amended) Ink composition according to claim 1,
2 comprising 0.1 to 20 % by weight of dye(s) and/or
3 pigment(s).

1 12. (amended) Ink composition according to claim 1,
2 comprising, in addition, at least one conductivity salt
3 at a level of 0.1 to 20 % by weight.

1 15. (amended) Process for marking objects by the
2 projection of ink onto these objects, whereby the
3 projected ink is an ink composition according to claim 1.

REMARKS

The foregoing amendments corrects multiple claim dependency for purposes of calculating the claim fee.

Attached hereto are pages entitled "Version With Markings to Show Changes Made".

If there are any further fees required by this amendment not covered by an enclosed check, or if no check is enclosed, please charge the same to Deposit Account No. 16-0820, Order No. 34284.

Respectfully submitted,

By: 
Joseph J. Corso, Reg. No. 25845

526 Superior Avenue East
Suite 1200
Cleveland, Ohio 44114-1484
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January 11, 2002

"VERSION WITH MARKINGS TO SHOW CHANGES MADE"

Applicant: Vincent Millot
Title: INK COMPOSITION FOR INK JET PRINTING
Docket No.: 34284

IN THE CLAIMS:

Claims 3, 4, 8, 11, 12 and 15 have been amended in the following manner.

1 3. (amended) Ink composition according to [either
2 of claims 1 or 2] claim 1, comprising from 5 to 50 % by
3 weight of the said other organic compounds(s).

1 4. (amended) Ink composition according to [any of
2 claims 1 to 3] claim 1, in which the said other organic
3 compound(s) are chosen from alcohols, ketones, glycol
4 alkylene ethers and esters, dimethyl formamide,
5 N-pyrrolidone and any other compounds known for their
6 ability to dissociate ionisable species and, if
7 appropriate, their properties of dissolving the other
8 ingredients in the ink composition [and / or] and/or to
9 slow down the evaporation of the ink; and their mixtures.

1 8. (amended) Ink composition according to [any of
2 claims 1 to 7] claim 1, comprising 0.1 to 30 % by weight
3 of binder.

1 11. (amended) Ink composition according to [any of
2 claims 1 to 10] claim 1, comprising 0.1 to 20 % by weight
3 of dye(s) [and / or] and/or pigment(s).

1 12. (amended) Ink composition according to [any of
2 claims 1 to 11] claim 1, comprising, in addition, at
3 least one conductivity salt at a level of 0.1 to 20 % by
4 weight.

1 15. (amended) Process for marking objects by the
2 projection of ink onto these objects, whereby the
3 projected ink is an ink composition according to [any of
4 claims 1 to 14] claim 1.

INK COMPOSITION FOR INK JET PRINTINGDESCRIPTION

5 The invention concerns an ink composition, for marking on all sorts of substrates and objects, whose properties are particularly suited to marking or printing by a liquid jet on a very wide range of substrates.

10 Ink jet printing is a well known technique, which allows all sorts of objects to be printed, marked or decorated, at high speed, and without these objects contacting the printing device, with variable messages as desired, such as bar codes, sell by dates, etc., even on substrates that are not flat.

15 Ink jet printing systems can be broken down into two major techniques: "DOD" (Drop on Demand) or "CJ" (Continuous Jet).

20 We are particularly interested in this second technique and, more particularly, the continuous deflected jet technique.

25 Projection by continuous deflected jet consists in sending the ink, under pressure, into a cavity containing a piezo-electric crystal, from where the ink escapes via an orifice (nozzle) in the form of a jet. The piezo-electric crystal, vibrating at a given frequency, causes disruptions in the pressure of the ink jet, which oscillates and progressively breaks up into spherical droplets. An electrode, located in the path of the jet, at the point where the jet breaks up, makes it possible

to confer an electrostatic charge to these droplets, if the ink is conductive. The droplets charged in this manner are deflected in an electric field and allow printing.

5 This type of ink jet projection enables marking, without contact, at high throughput speed on objects that are not necessarily flat and with the possibility of changing the message at will.

10 Ink compositions that are suitable for projection by continuous deflected jet must meet a certain number of criteria that are inherent to this technique, relating to, amongst other things, the viscosity, the electrical conductivity, the solubility in a solvent for cleaning, the compatibility of the ingredients, the correct wetting
15 of the substrates that need to be marked, etc.

In addition, these inks must dry rapidly, be capable for passing through the nozzle without blocking it, have very good jet directional stability and allow easily cleaning of the printing heads.

20 The ingredients used in present ink compositions for continuous deflected ink jet printing are organic or inorganic products, colouring materials such as dyes or pigments, resins and binders, in one or several more or less volatile solvents or in water, and, if appropriate,
25 one or several conductivity salts, as well as diverse additives.

The conductivity salt(s) give the ink the conductivity required for electrostatic deflection.

The additives include surfactants, which modify the wetting or penetrating power of the ink, in particular those that modify or regulate the static or dynamic surface tension, such as Fluorad® FC 430 from the 3M®
5 Company, agents that inhibit the corrosion induced by the salts mentioned above, or even additives that protect the ink against the proliferation of bacteria and other micro-organisms; these additives include biocides, bactericides, fungicides and others, which are
10 particularly useful in inks that contain water, pH buffering agents, and antifoaming agents.

The colouring materials are called "dyes or pigments", depending on whether they are soluble or insoluble in the solvent used.

15 Pigments, by nature insoluble, are therefore dispersed and may be opaque or not opaque. They give the ink its colour, its opacity, or specific optical properties, such as fluorescence (c.f. patents or patent applications US - A - 4 153 593, US - A - 4 756 758, US -
20 A - 4 880 465, EP -A - 0 289 141, US - A - 5 395 432, GB - A - 2 298 713). In certain cases, the dyes themselves provide sufficient conductivity to the ink so that there is no need to add a conductivity salt. The dyes known as C. I. Solvent Black 27, 29, 35 and 45 are examples.

25 The binder(s) or resin(s) used are mainly solid, polymeric compounds, and their choice is dictated by their solubility in the chosen solvents and their compatibility with the dyes and the other additives, but also and, above all, as a function of the properties that

they give the ink film, once it has dried. Their major function is to allow the ink to adhere to the maximum number of substrates or to adhere to specific substrates, for example non-porous substrates. They also make it possible to confer adequate viscosity to the ink for the formation of the droplets from the jet and they provide the ink, or rather the marking obtained, with most of its physical and / or chemical resistance properties.

The solvent used in these inks is most commonly a mixture comprising, firstly, a majority amount of volatile, not very viscous solvents, which allow rapid drying of the marking and enable the viscosity to be adjusted to the desired value, for example from 2 to 10 mPa.s and, secondly, more viscous and less volatile solvents that dry more slowly, in lesser amounts, in order to prevent the ink drying in the nozzle during stoppages to the printing device.

The volatile solvents used most frequently are low molecular weight alcohols, ketones or esters, as described in patents US - A - 4 567 213, US - A - 5 637 139, and one may cite as principal examples of these solvents methanol, ethanol, 1- and 2- propanol, acetone, methyl ethyl ketone (MEK), methyl isobutyl ketone, ethyl acetate and tetrahydrofuran.

Patent US - A - 4 210 566 describes an ink mainly based on n-propyl acetate.

Tetrahydrofuran is cited in patent US - A - 4 155 767 as the main constituent of the solvent in an ink for ink jet printing (40 to 85 %).

Patent US - A - 4 166 044 describes an ink without binder, in which the solvent comprises water (10 to 35 %), an alcohol (6 to 25 %) and an organic compound, chosen, for example, in a general manner, from cyclic ethers. This ink has all of the disadvantages associated with the presence of water.

Finally, document JP - A - 63 06316 describes an ink composition for ink jet printing whose solvent is water mixed with another solvent, chosen from 14 other compounds, including 1,3-dioxolane.

The less volatile solvents whose role is to slow down drying are most commonly ketones, such as cyclohexanone, glycol ethers, cited in the documents US - A - 4 024 096 and US - A - 4 567 213, acetals, such as tetrahydrofuran or dioxane, described in the document US - A - 4 155 767, dimethyl formamide or dimethyl sulphoxide (US - A - 4 155 895), lactones (EP - A - 0 735 120), glycols (WO - A - 96 23 844) and even aliphatic hydrocarbons, which have an additional role of penetrating oil films (US - A - 4 166 044) or even water, on its own or in combination with the other solvents cited above. In this respect, the reader may refer to documents US - A - 4 153 593, GB - A - 2 277 094 and FR - A - 2 460 982.

Generally, the principal or majority solvents in inks for continuous deflected ink jet projection must meet a certain number of criteria, in particular:

- They must be sufficiently volatile for the ink to dry rapidly on the substrate that is to be marked, but

not too volatile, in order to avoid too rapid evaporation in the printing device.

- Their solvent power in relation to the ink binders, dyes or pigment dispersions, and in relation to the substrates to be printed, must allow the ink to be conferred with good adhesion.

- They must be able to maintain the ionic species (salts) dissociated, which confers electrical conductivity to the ink.

- Their effect on human health, in other words their toxicity, noxiousness, irritant character and flammability, must be kept to a minimum.

- They must be able to maintain any inks that could be ingested in a sterile condition.

None of the solvents, called principal or majority solvents, normally used at present in inks for continuous ink jet printing simultaneously meet all of the criteria mentioned above.

Thus, methanol is toxic and has mediocre solvent power; ethanol is not sufficiently volatile and its solvent power is not high enough; ethyl acetate and the other acetates have an extremely strong odour, which is a principal defect in numerous applications; acetone is too volatile, with a very low flash point (-18°C); MEK (methyl ethyl ketone) has adequate solubility and has good solvent power, but is an irritant, has an odour and is highly flammable with a flash point of -9°C and, moreover, it is forbidden in the regulations of certain countries; THF (tetrahydrofuran) has higher volatility

that methyl ethyl ketone, since its boiling point is 10 °C less than that of MEK, but its flash point of - 17 °C is almost as low as that of acetone, and it can form explosive peroxides, it is an irritant like MEK and inks
5 containing more than 25 % have to be labelled as irritants.

There is therefore an unsatisfied need for an ink composition that is suitable, in particular, for continuous deflected ink jet printing, whose solvent
10 meets, simultaneously, amongst other things, all of the criteria mentioned above, and as a consequence of which, the ink is provided with the desired properties, and the requirements, in particular the regulatory requirements regarding toxicity, flammability and protection of the
15 environment, are met.

It would be useful to have an ink that has all of the advantages of methyl ketone, without any of the disadvantages.

There is also a need for an ink that is suitable, in particular, for ink jet printing that allows rapid
20 marking of all types of objects with different surface characteristics, whether porous or not.

The ink must, in addition, provide marking that has good adhesion and good resistance to chemical attack and,
25 in a general manner, have all of the properties normally required in inks for ink jet printers, in particular for printers using the continuous ink jet technique; viscosity, resistivity, etc.

The ink must, moreover, dry as quickly as possible, in order to allow high speed marking.

The aim of the invention is therefore to provide a suitable ink composition, in particular for continuous
5 deflected ink jet printing, that meets, amongst other things, all of the criteria and requirements mentioned above, and which has none of the disadvantages, limitations, faults or vices of ink jet compositions of the prior art, and which overcomes the problems of ink
10 compositions of the prior art, in particular regarding the type of solvents that they contain.

This aim and others are achieved, in accordance with the invention, by an ink composition comprising:

- a binder
- 15 - one or several dyes and / or pigments, and
- a solvent

in which the said solvent comprises at least 10 % by weight - in relation to the total weight of the ink - of 1,3-dioxolane, at least 5 % by weight of one or several
20 other organic compounds that can dissociate the ionisable species in the ink, and less than 5 % water.

In order to avoid any ambiguity, since the name dioxolane has commonly been given to two different products, the 1,3-dioxolane discussed here has the basic
25 formula $C_3H_6O_2$ and has been assigned the CAS number (646 - 06 - 0).

Its schematic formula is as follows:

The invention is based on the surprising observation that 1,3-dioxolane may be used in the formulation of an ink that is particularly suited to continuous ink jet projection, and that this is possible without adding
5 water to the formulation. In fact, the problems that arise in ink compositions for continuous deflected ink jet printing are extremely specific, so much so that even analogous solutions that could be adopted in ink compositions used in other techniques cannot be
10 transposed, in an immediate and direct manner, to ink compositions for continuous ink jet printing.

Even more so, the possible use of dioxolane in compositions that are even more distant, such as paint compositions - necessarily opaque, unlike ink
15 compositions - absolutely do not allow one to conclude that dioxolane could be suitable for a very specific use in an ink and, even more specifically, in an ink for continuous deflected ink jet printing.

It did not obviously follow from known compositions
20 that the choice of 1,3-dioxolane, from the multiplicity of organic solvents that exist, could lead to an ink that meets all of the criteria, requirements and needs mentioned above, especially in relation to the type of solvent. The use of 1,3-dioxolane, in such ink
25 compositions, has neither been described nor suggested in the prior art, where the only ink composition for ink jet printing cited that could possibly contain 1,3-dioxolane, comprises a solvent or vehicle mainly comprising water and 1,3-dioxolane in minor quantities and only as an

option. However, as has been mentioned above, this composition requires water in notable quantities in order to have the properties described and to be correctly dissolved.

5 On the other hand, the ink composition according to the invention contains a very small amount of water, less than 5 % and, preferably, less than 1 %.

10 The ink composition according to the invention may even be considered as being exempt of water. In fact, the water that is present is only water that is introduced as an impurity in the various components of the ink. The higher the degree of purity of the selected components, the lower the water content.

15 The low level or absence of water in the ink composition according to the invention favours high speed printing, in which very high evaporation speeds are required.

20 The criteria and requirements defined as regards the properties of a solvent for an ink composition for continuous ink jet projection are met by 1,3-dioxolane, since:

- Its boiling point is 75 °C, which is near to the boiling points of ethanol and methyl ethyl ketone.

25 - Its volatility is 3.4 (that of butyl acetate is 1), which is very close to that of methyl ethyl ketone, which is 3.7.

Its capacity to dissolve most polymers is also very useful in order to obtain good adhesion on the largest variety of substrates that need to be marked.

- It completely dissolves polystyrene, polymethyl methacrylate, polysulphone, polycarbonate, styrene - butadiene - styrene (SBS) type elastomeric, thermoplastic rubbers.

5 - It softens to a very high extent poly(phenylene oxide), poly(vinyl chloride), certain polyurethanes and acrylonitrile - butadiene - styrene (ABS) copolymers.

10 - It swells ethylene - propylene - diene monomer (EPDM) elastomers, polyethylene terephthalate (PET) and ethylene - vinyl acetate copolymers.

- It also dissolves a wide range of resins or polymers that may be used as binders in the ink and which ensure adhesion on the substrates that need to be marked.

15 - Its ability to dissolve dyes, in particular C. I. type solvent dyes, is as good as that of methyl ethyl ketone.

1,3-dioxolane is a flammable product with a flash point of 2 °C, but it is neither noxious nor an irritant. Its odour is neither strong nor disagreeable.

20 1,3-dioxolane is therefore better than the most common principal solvents, used up till now, in ink compositions for continuous ink jet projection.

1,3-dioxolane is, in fact, more useful than:

25 - Methanol, which is toxic and whose solvent power is much lower.

- Ethanol, which is less volatile and whose solvent power is much lower.

- Ethyl acetate, or the other acetates, which have a very strong odour.

- Acetone, which is too volatile, and has a very low flash point (-18°C).

- Methyl ethyl ketone, which is an irritant, and has a lower flash point (-9°C).

5 More precisely, compared to methyl ethyl ketone (or MEK), 1,3-dioxolane is less odorous, less noxious and less irritant, almost as volatile, and has a very similar solvent power.

10 Thanks to 1,3-dioxolane and according to the invention, it is possible, in a surprising manner, to formulate inks that are genuinely free of methyl ethyl ketone, and which therefore do not have the disadvantages inherent in these inks, but which have, however, almost all of the advantages of methyl ethyl ketone.

15 In particular, the inks according to the invention are very stable, due to the 1,3-dioxolane.

20 The ink composition according to the invention and, more precisely, the solvent included in this ink, contains, in addition, at least 5 % by weight, compared to the total weight of the ink, of another organic compound - in other words an organic compound that is different to 1,3-dioxolane - which is capable of dissociating the ionisable species, in particular salts and notably conductivity salts, which are usually
25 required in inks for continuous deflected ink jet printing.

 In fact, the dissociative power of 1,3-dioxolane is not sufficient for this solvent to be used on its own, and for this reason it must be combined according to the

invention with a second solvent in order to obtain the necessary conductivity, in particular for its use in continuous ink jet printing.

This type of combination does not adversely affect the advantageous properties of 1,3-dioxolane, mentioned above, and provides the dissociative power required for the preferred use that is made of the ink in continuous deflected ink jet printing and, in particular, makes it possible to dissociate the conductivity salts which are usually required in inks for continuous deflected ink jet printing.

In other words, it can be said that dioxolane, without water and combined with a solvent that can dissociate ions, ensures both adhesion on plastic or rubber materials, "projectability" by continuous deflected ink jet, and fast evaporation, without the disadvantages associated with the presence of water, in other words less good formation of ink films.

Preferably, the ink composition according to the invention comprises between 10 and 85 % by weight of 1,3-dioxolane, and even more preferably between 35 and 80 % by weight. The higher the 1,3-dioxolane content in the composition, the more one benefits from the advantages it offers.

Preferably, the ink composition according to the invention comprises between 5 and 50 % by weight of one or several other organic compound(s), and even more preferably, between 10 and 40 % by weight of the other organic compound(s).

The said other organic compound(s), part of the solvent and in addition to the dioxolane, are chosen, for example, from alcohols, in particular low molecular weight alcohols such as, for example, aliphatic alcohols, ketones, alkylene glycol ethers and esters, dimethyl formamide, N-methyl pyrrolidone, and any other compounds known to those skilled in the art for their ability to dissociate ionisable or dissociable species into ions, such as salts; and their mixtures: compounds that have this ability to dissociate these species are generally taken to mean liquid compounds that produce solutions that can conduct electricity when the said species are present.

Preferably, the other organic compound(s) used as part of the solvent are organic solvents whose volatility is less than 1,3-dioxolane.

Preferably, this or these compound(s) have, in addition, the ability to dissolve the other ingredients of the ink, notably the binder, the colouring materials, the additives, etc., and / or retard the evaporation of the ink compared to an ink that only contains 1,3-dioxolane as solvent.

The alcohols are, preferably, chosen from linear or branched aliphatic alcohols with 1 to 5 carbon atoms, such as methanol, ethanol, 1-propanol, 2-propanol, n-butanol, 2-butanol, t-butanol, etc.

The ketones are, preferably chosen from ketones with 3 to 10 carbon atoms, such as acetone, butanone (methyl

ethyl ketone), 2-pentanone (methyl propyl ketone), and 2 - methyl - 4 - pentanone (methyl isobutyl ketone).

The alkylene glycol ethers are chosen from monoalkylic ethers (in C1 to C6) or dialkyl ethers (in
5 C1 to C6) of alkylene glycol comprising 1 to 10 carbon atoms in the alkylene chain, preferably ethylene or propylene glycol ethers, such as methoxy propanol.

The glycol ether esters are chosen, preferably, from esters of these with saturated aliphatic carboxylic acids
10 with 1 to 6 carbon atoms, such as formic acid, acetic acid, propionic acid, butyric acid, valeric acid and caproic acid.

One may cite, for example, methoxy propyl acetate, butyl diglycol acetate, etc.

15 It has been observed that a solvent comprising a combination of 1,3-dioxolane and acetone as solvent confers inks with particularly rapid drying properties.

The ink composition according to the invention also comprises a binder comprising one or several polymer(s)
20 and / or resin(s).

These polymers and / or resins are chosen, preferably, from methacrylic, vinylic, ketonic, phenolic, cellulosic, styrenic, epoxy, polyurethane and styrene acrylate resins and combinations of two or more of these
25 resins.

Examples of these resins are as follows:

For acrylic, methacrylic and styrene acrylate resins, the Joncryl® range from JOHNSON POLYMER, the

Acryloid® range from ROHM & HAAS, the SMA® range from ATOCHEM or the Neocryl® range from ZENECA.

For vinylic resins, the Hostaflex® range from the VIANOVA Company, the Vinylite® range from UNION CARBIDE
5 or the Vinnol® range from WACKER.

For ketonic resins, the products commercialised by the HULS and BASF companies, such as the resins called AP and SK from HULS and the LAROPAL® resins from BASF.

For phenolic resins, the products commercialised by
10 the VIANOVA Company under the trade name ALNOVOL®.

Amongst the cellulosic resins, such as nitrocellulose resins, ethylcellulose resins, aceto - propionates or aceto - butyrates of cellulose, one may cite, for example, the products commercialised by the
15 HERCULES or EASTMAN companies.

Amongst the epoxy resins, one may cite the Epikote® range commercialised by the SHELL Company or the Araldite range from CIBA.

Amongst the polyurethanes, one may cite the
20 Surkopak® range from the MITCHANOL Company.

The proportion of binder in the ink composition according to the invention is generally from 0.1 to 30 % by weight and, preferably, from 3 to 20 % by weight.

The ink composition may, in addition, comprise one
25 or several plastifier(s) (of the resin(s) or polymer(s) of the binder) chosen, for example, from plastifiers known to those skilled in the art and selected as a function of the binder used, comprising one or several

polymer(s) and / or resin(s). One may cite, for example, as a plastifier, thermoplastic polyurethanes.

The solvent power of dioxolane makes it possible to easily incorporate such plastifying compounds into the composition according to the invention.

The plastifier(s) are generally present at a level of 0.1 to 20 % by weight.

The dye(s) and / or pigment(s) may be chosen from any of the dyes or pigments that are suitable for the desired use, known to those skilled in the art; some of these dyes or pigments have already been cited above.

Generally, dyes or pigments known as "C. I. Solvent Dyes" and "C. I. Pigments" are chosen. As an example, amongst the most common pigments and dyes, one may cite the C. I. Solvent Black 29, C. I. Solvent Black 7, C. I. Solvent Black 35, C. I. Solvent Blue 70, C. I. Solvent red 124, and dispersions of Pigment Blue 60 or Pigment Blue 15.

The quantity of dye and / or pigment is generally from 0.1 to 20 % by weight and preferably 3 to 10 %.

Thanks to the ability of 1,3-dioxolane to dissolve higher amounts of the dye(s) than normal solvents, it is possible, in particular, in the case of a fluorescent dye, to obtain particularly fluorescent inks, in other words inks that are visible under weak UV lighting.

The composition according to the invention may, in addition, if appropriate, comprise at least one conductivity salt.

In fact, when the ink is to be used in continuous jet printing, it must have sufficient electrical conductivity, preferably around 500 to 2 000 $\mu\text{s} / \text{cm}$, or more.

5 The products that provide the ink with the necessary conductivity for projection by continuous jet are ionisable compounds, such as salts, although it is possible that the dyes (in fact, the pigments and solvents cannot provide conductivity to these inks),
10 already present in the ink, provide sufficient conductivity to the ink so that there is no need to add an actual conductivity salt, these dyes being then necessarily salts; this is the case with compounds known as "C. I. Solvent Black 27, 29, 35 and 45" already cited.

15 However, it is often necessary to include, in the ink composition, a conductivity salt that is different to the dyes and which is generally chosen from alkali metal salts, alkaline earth salts and single or quaternary ammonium salts in the form of halides (chlorides, bromides, iodides and fluorides), perchlorates, nitrates,
20 thiocyanates, formiates, acetates, sulphates, propionates, etc. These conductivity salts are thus present, if necessary, in the ink composition so that they confer to the ink the conductivity mentioned above:
25 preferably, they are used at a level of 0.1 to 20 % by weight and, even more preferably, from 0.1 to 10 % by weight.

The composition according to the invention may, in addition, comprise one or several additives chosen from

compounds that improve the solubility of certain of these components, the quality of printing, the adhesion, or even controlling the wetting of the ink on different substrates.

5 The additive(s) may be chosen, for example, from anti-foaming agents, chemical stabilisers, UV stabilisers, surfactants, such as Fluorad® FC 430, inhibitors against salt corrosion, bactericides, fungicides and biocides, pH buffering agents, etc.

10 The additive(s) are used in very low amounts, generally less than or equal to 5 % and sometimes as low as 0.01 %, depending on whether it is an anti-foaming agent, a stabiliser or a surfactant.

15 Another aim of the invention is a process for marking objects, for example, porous or non-porous objects, by the projection onto these objects of an ink composition, as described above. The marking may, in particular, be carried out using the continuous deflected ink jet technique.

20 Another object of the invention is a substrate or support, for example, porous or non-porous, provided with marking using the ink composition, as described above. This substrate may be metal, for example, aluminium, steel (drink cans), glass (glass bottles), wood, ceramic,
25 paper, cardboard, synthetic polymers ("plastics") such as PVC and PET, polyolefins such as polyethylene (PE) and polypropylene (PP), "Plexiglas", or any other porous or non-porous substrate. It should be noted that due to the ability of 1,3-dioxolane to dissolve numerous substrates,

the adhesion of the marking obtained according to the invention is excellent, even on substrates considered as difficult, such as polyethylene, etc.

5 The invention will be more clearly understood by reading the following description of the embodiments of the invention, which are given as illustrative examples and are in no way limitative.

10 The following ink compositions, according to the invention, were prepared by mixing the products mentioned in Table I, in the proportions indicated. The Table also details the viscosity and the conductivity of the inks obtained.

Table 1

CONSTITUENTS (percentage by weight)	EXAMPLES					
	N° 1	N° 2	N° 3	N° 4	N° 5	N° 6
1,3-dioxolane (> 99 % pure)	40	46	34	45.5	80.7	60
Ethanol 99 %	38.9		33.4	27.2		
Methyl ethyl ketone		46.4				
Methoxy propyl acetate			10			
Methoxy propanol				9		
N-methyl pyrrolidone					11.5	
Acetone						25
2-propanol						3
Fluorescent orange dye (1)	10					
Fluorescent brightener (2)		1.5				
Solvent Red 124			4.5			
Solvent Black 7				4.5		
Vinylic dispersion of Pigment Blue 60					3	
Solvent Black 29						5
Acrylic resin (3)	8					
Vinylic resin (4)		5				
Phenolic resin (5)			17			
Ketonic resin (6)				11		
Nitrocellulose						6.9
Thermoplastic polyurethane	2					
Lithium nitrate	1		1		1.7	
Potassium thiocyanate		1				
Ammonium acetate				2.7		
Surfactant (7)	0.1	0.1	0.1	0.1	0.1	0.1
Viscosity (MPa.s)	4.4	4.0	4.5	4.0	4.1	5.0
Conductivity (μ S / cm)	960	800	855	700	800	850

(1) Solid solution of rhodamine derivatives and polyester resin

(2) C. I. Fluorescent Brightener 184

(3) Joncryn® 68 from Johnson Polymer

5 (4) VMCH from Union Carbide

(5) VIANOVA® (ALNOVOL®) novolak type phenolic resin

(6) Ketonic resin from HULS.

(7) Fluroad® FC 430 surfactant from 3M®.

All of the percentages are by weight.

10 According to the invention, each of the ink compositions in Table 1 gives enamel like, glossy films, which have very good adhesion to a wide variety of substrates. They do not contain more than 1 % water, and this is only due to water present as inevitable
15 impurities, for example in the alcohols or the 1,3-dioxolane.

The inks prepared in this manner were tested in Jaime 1 000, series 4 continuous deflected ink jet printers, manufactured by the IMAJE® Company, and enabled
20 excellent quality prints to be obtained.

Example 1

The ink produced is a fluorescent orange ink, mainly
25 applicable to postal indexing.

Its adhesion is excellent on all types of substrates used for packing various types of mail.

The solvent power of dioxolane makes it possible to incorporate a plastifying polyurethane resin.

Example 2

5

This ink is a colourless ink and visible under UV lighting, and may be used for "security" marking. It is particularly fluorescent, in other words visible under weak UV lighting, thanks to the ability of dioxolane to
10 dissolve the fluorescent dye in higher quantities.

Example 3

This red ink has particularly good adhesion, even on
15 difficult substrates such as polyethylene.

Example 4

This black ink is useful for marking bar codes,
20 thanks to its absorption of red and infrared light. Its excellent stability is obtained thanks to the presence of dioxolane.

Example 5

25

This blue ink has particularly good adhesion on PVC type substrates.

Example 6

This black ink, suitable for multiple uses, dries particularly quickly, thanks to the combination of acetone and dioxolane solvents.

- 5 With the exception of the inks from examples 2 and 5, each of these inks only requires an F = "high flammable" label. The inks from examples 2 and 5 contain, respectively, methyl ethyl ketone or N-methyl pyrrolidone and must be labelled Xi = irritant, according to European
10 legislation.

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Examination Report

CLAIMS

1. Ink composition comprising:

- 5 - a binder
 - one or several dyes and / or pigments, and
 - a solvent

in which the said solvent comprises at least 10 % by weight - in relation to the total weight of the ink - of
10 1,3-dioxolane, at least 5 % by weight of one or several other organic compounds that can dissociate the ionisable species in the ink, and less than 5 % water.

2. Ink composition according to claim 1, comprising from 10 to 85 % by weight of dioxolane.

15 3. Ink composition according to either of claims 1 or 2, comprising from 5 to 50 % by weight of the said other organic compound(s).

4. Ink composition according to any of claims 1 to 3, in which the said other organic compound(s) are chosen
20 from alcohols, ketones, glycol alkylene ethers and esters, dimethyl formamide, N-pyrrolidone and any other compounds known for their ability to dissociate ionisable species and, if appropriate, their properties of dissolving the other ingredients in the ink composition
25 and / or to slow down the evaporation of the ink; and their mixtures.

5. Ink composition according to claim 4, in which the said other compound(s) are chosen from linear or branched aliphatic alcohols with from 1 to 5 carbon

**English translation of the amended sheets of International Preliminary
Examination Report**

atoms, ketones with from 3 to 10 carbon atoms, monoalkylic ethers (in C1 to C6) or dialkyllic (in C1 to C6) glycol alkylenes comprising 1 to 10 carbon atoms in the alkylene chain, such as ethylene glycol and propylene glycol, and the esters of these with saturated aliphatic
5 carboxylic acids with 1 to 6 carbon atoms.

6. Ink composition according to claim 1, in which the said binder comprises one or several resins or polymers.

10 7. Ink composition according to claim 6, in which the said resin(s) and / or polymer(s) are chosen from the methacrylic, vinylic, ketonic, phenolic, cellulosic, styrenic, epoxy, polyurethane and styrene - acrylate resins, and the combination of two or more of these.

15 8. Ink composition according to any of claims 1 to 7, comprising 0.1 to 30 % by weight of binder.

9. Ink composition according to claim 1, comprising, in addition, one or several plastifiers at a level of 0.1 to 20 % by weight.

20 10. Ink composition according to claim 1, in which the said solvent(s) and / or pigment(s) are chosen from dyes and pigments known as "C. I. Solvent Dyes" and "C. I. Pigments".

25 11. Ink composition according to any of claims 1 to 10, comprising 0.1 to 20 % by weight of dye(s) and / or pigment(s).

12. Ink composition according to any of claims 1 to 11, comprising, in addition, at least one conductivity salt at a level of 0.1 to 20 % by weight.

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13. Ink composition according to claim 12, in which
the said conductivity salt is chosen from alkali metal
salts, alkaline earth salts and single or quaternary
ammonium salts, in the form of halides, perchlorates,
5 nitrates, thiocyanates, formiates, acetates, sulphates
and propionates.

14. Ink composition according to claim 1,
comprising, in addition, one or several additives chosen
from anti-foaming agents, chemical stabilisers, UV
10 stabilisers, surfactants, inhibitors to prevent salt
corrosion, bactericides, fungicides, biocides, and pH
buffering agents.

15. Process for marking objects by the projection of
ink onto these objects, whereby the projected ink is an
15 ink composition according to any of claims 1 to 14.

16. Process according to claim 15, whereby the
marking is achieved by the technique of continuous
deflected ink jet.

Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (I) the undersigned inventor(s), hereby declare(s) that :

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

INK COMPOSITION FOR INK JET PRINTING

the specification of which

- ☐ is attached hereto.
- ☐ was filed on
as Application Serial No.
and amended on
- ☒ was filed as PCT international application
Number PCT/FR00/02175
on July 28, 2000
and was amended under PCT Article 19
on October 19, 2001

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application (s)

Application No.	Country	Day/month/Year	Priority Claimed	
99 09946	FRANCE	30 JULY 1999	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO

We (I) hereby claim the benefit under Title 35, United States Code, § 119 (e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date


Status (pending, patented,
abandoned)

17 And we (I) hereby appoint : Charles B. Gordon, Registration Number 16,923; William C. McCoy, Registration Number 16,885; Louis V. Granger, Registration Number 15,999; William A. Gail, Registration Number 17,409; Richard H. Dickinson Jr, Registration Number 18,622; Thomas P. Schiller, Registration Number 20,677; David B. Deioma, Registration Number 22,841; Joseph J. Corso, Registration Number 25,845; Howard G. Shimola, Registration Number 26,232; Jeffrey J. Sopko, Registration Number 27,676; John P. Murtaugh, Registration Number 34,226; James M. Moore, Registration Number 32,923; David E. Spaw, Registration Number 34,732; Michael W. Garvey, Registration Number 35,878; Paul R. Katterle, Registration Number 36,563; Richard M. Mescher, Registration Number 38,242 and Mark E. Bandy, Registration Number 35,788; our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of PEARNE, GORDON, McCOY & GRANGER whose Post Office Address is : 526 Superior Avenue east Suite 1200 Cleveland, Ohio 44114-1484.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true ; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the application or any patent issuing thereon.

1-00 MILLOT Vincent

NAME OF FIRST SOLE INVENTOR



Signature of Inventor

December 18, 2001

Date

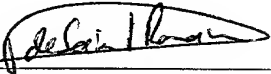
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Signature of Inventor

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